362

PTO/SB/21 (modified) Approved for use through xx/xx/xx, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Department of Commerce Application Number 09/428,284 Patent and Trademark Office Rev. 10/95 October 27, 1999 Filing Date TRANSMITTAL FORM First Named Inventor Andrew D. Holmes (to be used for all correspondence during pendency of Group Art Unit Number 3628 filed application) **Examiner Name** Jeffrey C. Pwu Attorney Docket Number Total Number of Pages in This Submission 3894 30 **ENCLOSURES** (check all that apply) Issue Fee Transmittal Fee Transmittal Form (in duplicate) Check Enclosed Letter to Chief Draftsperson Return Receipt Postcard Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ] Response to Notice to File Missing Parts Appeal Communication to Board of Appeals and Assignment & Recordation Cover Sheet Interferences Declaration Appeal Communication to Group Power of Attorney (Appeal Notice, Brief, Reply Brief) Application Data Sheet Certified Copy of Priority Document(s) Information Disclosure Statement & PTO/SB/08A After Allowance Communication to Group Copies of IDS Cited References Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: 29 Pages After Final Status Request Revocation and Substitute Power of Attorney REMARKS: SIGNATURE OF ATTORNEY OR AGENT Signature: October 8, 2003 Dated: Amir H. Raubvogel, Reg. No.: 37,070 Attorney/Reg. No.: CERTIFICATE OF MAILING I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. October 8, 2003 Typed or Printed Name:

16319/03894/DOCS/1382749.1

Express Mail Mailing Number (optional):

RECEIVED
OCT 1 6 2003
GROUP 3600



## IN THE

1021.03

## UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Andrew D. Holmes, Lee Horigan, Jeffrey A. Langston,

David McMurtry, Sylvain Tremblay, and Raymond P.

Trounday

SERIAL NO:

09/428,284

FILING DATE:

October 27, 1999

TITLE:

Multiple Exchange Rate Tracking in a Financial Transac-

tion Manager

**EXAMINER:** 

Jeffrey C. Pwu

GROUP ART UNIT:

3628

ATTY. DKT. NO.:

3894

RECEIVED OCT 1 6 2003

GROUP 3600

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: <u>October 8, 2003</u>

By:

Amir H. Raubvogel, Reg. No.: 37,070

MAIL STOP NON-FEE AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## AMENDMENT D

SIR:

The following amendments and remarks are in response to the Office Action dated August 21, 2003.